

Monthly Return of Equity Issuer on Movements in Securities

For the month ended (dd/mm/yyyy) : $ \\$	30/11/2014		
To: Hong Kong Exchanges and Clearin	g Limited		
Name of Issuer		Financial Co	rporation
Date Submitted	04/12/20	14	
I. Movements in Authorised Share Capi	tal		
1. Ordinary Shares			
(1) Stock code : <u>00945</u> Descri	ption : <u>Common</u>	Shares	
Balance at close of preceding month	No. of ordinary shares Unlimited	Par value (State currency) No par value	Authorised share capital (State currency) Unlimited
Balance at close of preceding month	Unlimited	value	Uniimited
Increase/(decrease)			
Balance at close of the month			
(2) Stock code :Descri	ption:		
	No. of ordinary shares	Par value (State currency)	Authorised share capital (State currency)
Balance at close of preceding month			
Increase/(decrease)			
Balance at close of the month			

Stock code: N/A Descri	iption: <u>Preference</u>	e Shares	
	No. of preference shares	Par value (State currency)	Authorised share capital (State currency)
Balance at close of preceding month Class 1	74,000,000	No par value	Unlimited
Class A	40,000,000	No par value	Unlimited
Class B	0	No par 0 value Unlimite	
Increase/ (decrease)	0	No par value	Unlimited
Balance at close of the month	114,000,000	No par value	Unlimited
3. Other Classes of Shares			
3. Other Classes of Shares Stock code : Descri	iption :		
	No. of other classes of shares	Par value (State currency)	Authorised share capital (State currency)

Total authorised share capital at the end of the month (State	N/A
currency):	

Balance at close of the month

II. Movements in Issued Share Capital

	No. of ordinar	y shares	No of preference	No. of other classes
	Common Shares	(2)	shares	of shares
Balance at close of preceding month	1,863,676,868		114,000,000	
Increase/ (decrease) during the month	217,660		0	
Balance at close of the month	1,863,894,528		114,000,000	

III. Details of Movements in Issued Share Capital

Share Options (under Share Option Schemes of the Issuer)

Share Options (unde		n Schemes of the	(Issuer)			
Particulars of share					No. of new shares of	No. of new shares of
option scheme					issuer issued during	issuer which may be
including EGM					the month pursuant	issued pursuant thereto
approval date		Movement dur	ring the month		thereto	as at close of the month
(dd/mm/yyyy) and					-	
class of shares						
issuable	Granted	Exercised	Cancelled	Lapsed		
1. Executive						
Stock Option						
Plan						
(04/05/2000)						
Common	0	166,123	26,508	60,808	166,123	30,416,499
Shares						
(Note 1)						
2. 1999 Long						
Term Stock						
Incentive Plan						
(14/05/2001)						
Common						
Shares						0
			-			
(Note 1)						
		Т	otal A. (Ordi	nary shares)		
		1			166,123	
			(Prefer	ence shares)	0	
			(Other class)		
Total funds raised d	uring the mont	h from exercise	of			
options (State curren			Cdn. \$2,	749,333		
	57			,		
L						

Description of warrants (Date of expiry - dd/mm/yyyy)	Currency of Nominal value at nominal close of value preceding month	Exercised during the month	Nominal value at close of the month	No. of new shares of issuer issued during the month pursuant thereto	No. of new shares of issuer which may be issued pursuant thereto as at close of the month
1.					
	-				
(/ /) Stock code (if listed) Class of shares issuable (Note 1) Subscription price EGM approval date (if applicable)					
(dd/mm/yyyy)	(/ /)				
	• •				
Stock code (if listed) Class of shares issuable (Note 1) Subscription price EGM approval date (if applicable)					
(dd/mm/yyyy)3.	-				
(/ /) Stock code (if listed) Class of shares issuable (Note 1)					
Subscription price EGM approval date					
(if applicable)	(/ /)				
4.					
(/ /)	·				
Stock code (if listed) Class of shares issuable (Note 1)					
Subscription price EGM approval date (if applicable) (dd/mm/yyyy)	(/ /)				
	Tota	(Prefero	nary shares) 0 ence shares) Other class)		_

Convertibles (i.e. Convertible i		Amount at close of preceding month	Converted during the month	Amount at close of the month	No. of new shares of issuer issued during the month pursuant thereto	No. of new shares of issuer which may be issued pursuant thereto as at close of the month
1.	outstanding	monu	monu	month		
Stock code (if listed) Class of shares issuable (Note 1) Subscription price						
EGM approval date (if applicable) (dd/mm/yyyy)) 2.	(/ /)					
Stock code (if listed) Class of shares issuable (Note 1) Subscription price						
EGM approval date (if applicable) (dd/mm/yyyy) 3.	(/ /)					
Stock code (if listed) Class of shares issuable (Note 1) Subscription price						
EGM approval date (if applicable) (dd/mm/yyyy) 4.	(/ /)					
Stock code (if listed) Class of shares issuable (Note 1) Subscription price						
EGM approval date (if applicable) (dd/mm/yyyy)	(/ /)		Гotal С. (Ordir	nary shares) 0		_
			(Prefere	once shares) Other class)		- -

For Main Board and GEM listed issuers Any other Agreements or Arrangements to Issue Shares of the Issuer which are to be Listed, including Options (other than under Share Option Schemes)

than under share Option schemes)		
Full particulars including EGM approval date (dd/mm/yyyy), if applicable, and class of shares issuable:	No. of new shares of issuer issued during the month pursuant thereto	No. of new shares of issuer which may be issued pursuant thereto as at close of the month
Stock Plan for Non-Employee Directors		_
Deferred Share Units settled in Common Shares	0	0
(04/05/2000)	
shares (Note 1		
Shares (Note 1)	/	
2. Executive Stock Option Plan		
Deferred Share Units settled in Common Shares	51,537	0
(04/05/2000)	
shares (Note 1	-	
Sildres (Ivote 1)	/	-
2 Divide d Deimonton d Cham Demission Divide		
3. Dividend Reinvestment and Share Purchase Plan	_	_
Dividends reinvested in Common Shares and Common Share	0	0
purchases		
-		
(07/05/2009	7	
shares (Note 1		
Shares (Note 1)	<u>'</u>	
Total D. (Ordinary shares		0
(Preference shares)	
(Other class)	
		•

Oti	her Movements in	n Issued Share Capital				No. of new shares of issuer issued during the month pursuant thereto	No. of new shares of issuer which may be issued pursuant thereto as at close of the month
	Type of Issue						
			Class of shares issuable (Note 1)				
1.	Rights issue	At price : State currency	_ Issue and allotment date : (dd/mm/yyyy)	(/ /)		
			EGM approval date: (dd/mm/yyyy)	(/ /)		
			Class of shares issuable (Note 1)				
2.	Open offer	At price : State currency	Issue and allotment date : (dd/mm/yyyy)	(/ /)		
			EGM approval date: (dd/mm/yyyy)	(/ /)		
							_
			Class of shares issuable (Note 1)				
3.	Placing	At price : State currency	Issue and allotment date : (dd/mm/yyyy)	(/ /)		
			EGM approval date: (dd/mm/yyyy)	(/ /)		
						-	_
			Class of shares issuable (Note 1)				
4.	Bonus issue		Issue and allotment date : (dd/mm/yyyy)	(/ /)		
			EGM approval date: (dd/mm/yyyy)	(/ /)		
							_

	Type of Issue				For	Ma	in B	oar	d and GEM list No. of new shares of issuer issued during the month pursuant thereto	ted issuers No. of new shares of issuer which may be issued pursuant thereto as at close of the month
				Class of shares issuable (Note 1)	; _			_		
5.	Scrip dividend	At price :	State currency —	Issue and allotment date: (dd/mm/yyyy)	(/	/)		
				EGM approval date: (dd/mm/yyyy)	(/	/)		
										-
				Class of shares repurchased (Note 1)	· _			_		
6.	Repurchase of shares			Cancellation date : (dd/mm/yyyy)	(/	/)		
				EGM approval date: (dd/mm/yyyy)	(/	/)		
										_
				Class of shares redeemed (Note 1)	_			_		
7.	Redemption of shares			Redemption date : (dd/mm/yyyy)	(,	,	`		
				EGM approval date: (dd/mm/yyyy)			/			
				Class of shares issuable (Note 1)	: _			_		
8.	Consideration issue	At price :	State currency	Issue and allotment date: (dd/mm/yyyy)	(/	/)		
				EGM approval date: (dd/mm/yyyy)	(/	/)		

	Type of Issue		·	for Main Boai	d and GEM list No. of new shares of issuer issued during the month pursuant thereto	No. of new shares of issuer which may be issued pursuant thereto as at close of the month
			Class of shares issuable (Note 1)			
9.	Capital reorganisation		Issue and allotment date : (dd/mm/yyyy)	(/ /)		
			EGM approval date: (dd/mm/yyyy)	(/ /)		
						-
10	Other	State	Class of shares issuable (Note 1)			
10.	Offici	At price: currency	Issue and allotment date: (dd/mm/yyyy)	(/ /)		
			EGM approval date: (dd/mm/yyyy)	(/ /)		
			Total E. (C	Ordinary share eference share (Other class	es) 0	-
			ing the month (i.e. Total of A to		T1)	

Total increase / (decrease) in preference shares during the month (i.e. Total of A to E):

Total increase / (decrease) in other classes of shares during the month (i.e. Total of A to E):

(These figures should be the same as the relevant figures under II above ("Movements in Issued Share Capital").)

217,660

(2)

Remarks (if any):
Submitted by: Kay Song
Submitted by:
Title: <u>Assistant Corporate Secretary</u>
(Director, Secretary or other duly authorised officer)

Notes:

- 1. State the class of shares (e.g. ordinary, preference or other).
- 2. If there is insufficient space, please append the prescribed continuation sheet.