

## **Monthly Return of Equity Issuer on Movements in Securities**

For the month ended (dd/mm/yy	yy): <u>30/0</u>	9/2014		
To: Hong Kong Exchanges and Cl	earing Limited	1		
Name of Issuer			Financial Co	rporation
Date Submitted		06/10/20	14	
I. Movements in Authorised Share	Capital			
1. Ordinary Shares				
(1) Stock code: <u>00945</u> D	escription:	Common	Shares	
		ordinary nares	Par value (State currency)	Authorised share capital (State currency)
Balance at close of preceding mont	th <u>Unlimite</u>	d	No par value	Unlimited
Increase/(decrease)				
Balance at close of the month				
(2) Stock code :D	escription :			
		ordinary nares	Par value (State currency)	Authorised share capital (State currency)
Balance at close of preceding mont	th			
Increase/(decrease)				
Balance at close of the month	-			

2. Preference Sha	ires				
Stock code:	N/A Descri	ption : <u>Preference</u>	ee Shares		
		No. of preference shares	Par value (State currency)	Authorised share capital (State currency)	
Balance at close of Class 1	of preceding month	88,000,000	No par value	Unlimited	
Class A		40,000,000	No par value No par	Unlimited	
Class B		0	value	Unlimited	
Increase/ (decrease) Class 1 Preferred Shares		(14,000,000)	No par value	<b>Unlimited</b>	
Balance at close of	of the month	114,000,000	No par value	Unlimited	
3. Other Classes	of Shares				
Stock code:	Descri	ption :			
		No. of other classes of shares	Par value (State currency)	Authorised share capital (State currency)	
Balance at close of	of preceding month				
Increase/(decreas	e)				

Total authorised share capital at the end of the month (State currency):	N/A
- · · · · · · · · · · · · · · · · · · ·	

Balance at close of the month

## II. Movements in Issued Share Capital

	No. of ordinar	y shares	No of preference	No. of other classes	
	Common Shares	(2)	shares	of shares	
Balance at close of preceding month	1,858,438,281		128,000,000		
Increase/ (decrease) during the month	5,179,155		(14,000,000)		
Balance at close of the month	1,863,617,436		114,000,000		

## III. Details of Movements in Issued Share Capital

Share Options (under Share Option Schemes of the Issuer)

Share Options (unde		1 Schemes of the	Issuer)			
Particulars of share					No. of new shares of	No. of new shares of
option scheme					issuer issued during	issuer which may be
including EGM					the month pursuant	issued pursuant thereto
approval date		Movement dur	ing the month		thereto	as at close of the month
(dd/mm/yyyy) and					<del>_</del>	
class of shares						
issuable	Granted	Exercised	Cancelled	Lapsed		
1. Executive						
Stock Option						
Plan						
(04/05/2000)						
Common	0	309,996	431,489	42,590	309,996	30,764,112
Shares	-	<u> </u>		- <u> </u>	·	-
(Note 1)						
2. 1999 Long						
Term Stock						
Incentive Plan						
(14/05/2001)						
Common						
Shares						0
(Note 1)		<del>-</del>				
(Note 1)						
		<u> </u>				
		To	otal A. (Ordi	nary shares)	200.007	
			,		309,990	
				ence shares)	0	
			,	Other class)		
Total funds raised d	uring the mont	h from exercise of	of			
options (State curren	ncy)		Cdn. \$5,	010,620		

Description of warrants (Date of expiry - dd/mm/yyyy)	Currency of Nominal value at nominal close of value preceding month	Exercised during the month	Nominal value at close of the month	No. of new shares of issuer issued during the month pursuant thereto	No. of new shares of issuer which may be issued pursuant thereto as at close of the month
1.	-				
( / / ) Stock code (if listed) Class of shares issuable (Note 1) Subscription price EGM approval date	<del>-</del>				
(if applicable) (dd/mm/yyyy)  2.	( / / )				
( / / ) Stock code (if listed) Class of shares issuable (Note 1) Subscription price EGM approval date (if applicable)	- - - - - - - - - - - - - - - - - - -				
(dd/mm/yyyy) 3.	( / / ) - -				
( / / ) Stock code (if listed) Class of shares issuable (Note 1)					
Subscription price EGM approval date (if applicable) (dd/mm/yyyy) 4.					
( / / ) Stock code (if listed) Class of shares issuable (Note 1) Subscription price					
EGM approval date (if applicable) (dd/mm/yyyy)	( / / )				
	Total	(Prefere	nary shares) 0 ence shares) Other class)		_

Convertibles (i.e. Convertible i		Amount at close of preceding month	Converted during the month	Amount at close of the month	No. of new shares of issuer issued during the month pursuant thereto	No. of new shares of issuer which may be issued pursuant thereto as at close of the month
1.						
Stock code (if listed)						
Class of shares issuable (Note 1)						
Subscription price						
EGM approval date (if applicable)						
(dd/mm/yyyy))	( / / )					
2.						
Stock code (if listed) Class of shares						
issuable (Note 1)						
Subscription price EGM approval date						
(if applicable)						
(dd/mm/yyyy)	( / / )					
3.						
	<del></del>					
Stock code (if listed)						
Class of shares						
issuable (Note 1)						
Subscription price EGM approval date						
(if applicable)						
(dd/mm/yyyy) 4.	( / / )					
	<del></del>					
Stock code (if listed)						
Class of shares						
issuable (Note 1) Subscription price						
EGM approval date						
(if applicable) (dd/mm/yyyy)	( / / )					
	<u>, , , , )</u>		Total C. (Ordin	nary shares) 0		-
			(Prefere	ence shares) Other class)		-
			(1			-

For Main Board and GEM listed issuers Any other Agreements or Arrangements to Issue Shares of the Issuer which are to be Listed, including Options (other than under Share Option Schemes)

man	under Snare Option Schemes)		
	l particulars including EGM approval date (dd/mm/yyyy), pplicable, and class of shares issuable:	No. of new shares of issuer issued during the month pursuant thereto	No. of new shares of issuer which may be issued pursuant thereto as at close of the month
1.	Stock Plan for Non-Employee Directors		
	Deferred Share Units settled in Common Shares	0	0
	(04/05/2000) shares (Note 1)		
-	Situres (110te 1)		
2.	Executive Stock Option Plan Deferred Share Units settled in Common Shares	113,623	0
•	(04/05/2000)		
•	shares (Note 1)		
3.	Dividend Reinvestment and Share Purchase Plan Dividends reinvested in Common Shares and Common Share purchases	4,760,050	0
	(07/05/2009) shares (Note 1)		
1	3		_
•	Total D. (Ordinary shares)	4,873,673	0
	(Preference shares)		
•	(Other class)		

Oti	her Movements in	n Issued Share Capital				No. of new shares of issuer issued during the month pursuant thereto	No. of new shares of issuer which may be issued pursuant thereto as at close of the month
	Type of Issue						
			Class of shares issuable (Note 1)				
1.	Rights issue	At price : State currency	_ Issue and allotment date : (dd/mm/yyyy)	( / /	)		
			EGM approval date: (dd/mm/yyyy)	( / /	)		
			Class of shares issuable (Note 1)				
2.	Open offer	At price : State currency	Issue and allotment date : (dd/mm/yyyy)	( / /	)		
			EGM approval date: (dd/mm/yyyy)	( / /	)		
							_
			Class of shares issuable (Note 1)				
3.	Placing	At price : State currency	Issue and allotment date : (dd/mm/yyyy)	( / /	)		
			EGM approval date: (dd/mm/yyyy)	( / /	)		
						-	_
			Class of shares issuable (Note 1)				
4.	Bonus issue		Issue and allotment date : (dd/mm/yyyy)	( / /	)		
			EGM approval date: (dd/mm/yyyy)	( / /	)		
							_

				For Main I	Boar	d and GEM list No. of new shares of issuer issued during the month pursuant thereto	No. of new shares of issuer which may be issued pursuant thereto as at close of the month
	Type of Issue						
5.	Scrip dividend	At price : State currency ———	Class of shares issuable (Note 1)  Issue and allotment	( / /	_		
		currency	date : (dd/mm/yyyy)  EGM approval date: (dd/mm/yyyy)	( / /	)		
			Class of shares repurchased (Note 1)				
6.	Repurchase of shares		Cancellation date : (dd/mm/yyyy)	( / /	)		
			EGM approval date: (dd/mm/yyyy)	( / /	)		_
			Class of shares redeemed (Note 1)	Class 1 Preferre Shares	ed		
7.	Redemption of shares		Redemption date : (dd/mm/yyyy)	(19/09/20			
			EGM approval date: (dd/mm/yyyy)	( / /	)	(14,000,000)	1
			Class of shares issuable (Note 1)		_		
8.	Consideration issue	At price : State currency	Issue and allotment date : (dd/mm/yyyy)	( / /	)		
			EGM approval date: (dd/mm/yyyy)	( / /	)		

	Type of Issue			For	- Main Boar	d and GEM lis No. of new shares of issuer issued during the month pursuant thereto	No. of new shares of issuer which may be issued pursuant thereto as at close of the month
				Class of shares issuable (Note 1)			
9.	Capital reorganisation			Issue and allotment date : (dd/mm/yyyy)	/ / )		
				EGM approval date: (dd/mm/yyyy)	/ / )		
10.	Other Reversal of 4,514 unallocated Common Shares held by HK		<b>a</b>	Class of shares issuable (Note 1)	Common Shares		
	HSBC upon demutualization pursuant to the MFC Share Rectification	At price:	State currency	Issue and allotment date: (dd/mm/yyyy)	0/09/2014)		
	Program		_	EGM approval date: (dd/mm/yyyy)	/ / )	(4,514)	
				(Prefe	dinary share erence share (Other clas	s) (14,000,000)	

Total increase / (decrease) in ordinary shares during the month (i.e. Total of A to E):	(1)	5,179,155			
	(2)				
Total increase / (decrease) in preference shares during the month (i.e. Total of A to E):	·-	(14,000,000)			
Total increase / (decrease) in other classes of shares during the month (i.e. Total of A to E):	-				
(These figures should be the same as the relevant figures under II above ("Movements in Issued Share Capital").)					

Remarks (if any):
Submitted by: Kay Song
Submitted by:
Title: <u>Assistant Corporate Secretary</u>
(Director, Secretary or other duly authorised officer)

## Notes:

- 1. State the class of shares (e.g. ordinary, preference or other).
- 2. If there is insufficient space, please append the prescribed continuation sheet.