

## **Monthly Return of Equity Issuer on Movements in Securities**

For the month ended (dd/mm/yyyy) :	30/11/2015		
To: Hong Kong Exchanges and Clearin	g Limited		
Name of Issuer		Financial Co	rporation
Date Submitted	02/12/20	15	
	. 1		
I. Movements in Authorised Share Capi	tai		
1. Ordinary Shares			
(1) Stock code : 00945 Descrip	ption: <u>Common</u>	Shares	
	No. of ordinary shares	Par value (State currency)	Authorised share capital (State currency)
Balance at close of preceding month	Unlimited	No par value	Unlimited
Increase/(decrease)			
Balance at close of the month			
(2) Stock code :Descrip	ption:		
	No. of ordinary shares	Par value (State currency)	Authorised share capital (State currency)
Balance at close of preceding month			
Increase/(decrease)			
Balance at close of the month			

2. Preference Shares			
Stock code : <u>N/A</u> Descrip	otion: <u>Preference</u>	e Shares	
	No. of preference shares	Par value (State currency)	Authorised share capital (State currency)
Balance at close of preceding month Class 1	84,000,000	No par value	Unlimited
Class A	26,000,000	No par value No par	Unlimited
Class B	0	value	Unlimited
Increase/ (decrease)	0	No par value	Unlimited
Balance at close of the month	110,000,000	No par value	<b>Unlimited</b>
3. Other Classes of Shares			
Stock code :Descrip	otion:		
	No. of other classes of shares	Par value (State currency)	Authorised share capital (State currency)
Balance at close of preceding month			
Increase/(decrease)			
Balance at close of the month			

N/A

Total authorised share capital at the end of the month (State

currency):

## II. Movements in Issued Share Capital

	No. of ordinary sha		No of preference	No. of other classes		
	Common Shares	(2)	shares	of shares		
Balance at close of preceding month	1,971,303,230		110,000,000			
Increase/ (decrease) during the month	435,657		0			
Balance at close of the month	1,971,738,887		110,000,000			

## III. Details of Movements in Issued Share Capital

Share Options (under Share Option Schemes of the Issuer)

Share Options (unde		n Schemes of the	Issuer)			
Particulars of share					No. of new shares of	No. of new shares of
option scheme					issuer issued during	issuer which may be
including EGM					the month pursuant	issued pursuant thereto
approval date		Movement dur	ing the month		thereto	as at close of the month
(dd/mm/yyyy) and					_	
class of shares						
issuable	Granted	Exercised	Cancelled	Lapsed		
1. Executive						
Stock Option						
Plan						
-						
(0.4/0.5/2000)						
(04/05/2000)						
Common	0	435,657	159,817	129,316	435,657	29,944,281
Shares		133,037	157,017	127,510	155,057	27,711,201
(Note 1)						
2. 1999 Long						
Term Stock						
Incentive Plan						
Incentive I fair						
(14/05/2001)						
Common						0
Shares						U
( <i>Note 1</i> )					·	
,						
	-	-	-			
		To	otal A. (Ordi	nary shares)	435,657	
				•	433,037	
			•	ence shares)		
			`	Other class)		
Total funds raised d		h from exercise of				
options (State curren	ncy)		Cdn. \$6,2	250,220		

Description of warrants (Date of expiry - dd/mm/yyyy)	Currency of 1	Nominal value at close of preceding month	Exercised during the month	Nominal value at close of the month	No. of new shares of issuer issued during the month pursuant thereto	No. of new shares of issuer which may be issued pursuant thereto as at close of the month
1.	<del>-</del>					
	_					
( / / ) Stock code (if listed) Class of shares issuable (Note 1) Subscription price EGM approval date (if applicable) (dd/mm/yyyy)	( / /	)				,
2.	-					
	-					
( / / ) Stock code (if listed) Class of shares issuable (Note 1) Subscription price EGM approval date (if applicable) (dd/mm/yyyy) 3.	( / /	)				
	-					
( / / ) Stock code (if listed) Class of shares issuable (Note 1) Subscription price						
EGM approval date (if applicable)						
	( / / - -	)				
( / / )						
C(11-('C1'-(-1)						
(if applicable)	(	)				
(dd/mm/yyyy)	( / /	Total	B. (Ordin	nary shares) 0 ence shares)		
			(	Other class)		· -

Convertibles (i.e. Convertible in Class and description		Amount at close of preceding month	Converted during the month	Amount at close of the month	No. of new shares of issuer issued during the month pursuant thereto	No. of new shares of issuer which may be issued pursuant thereto as at close of the month
1.						
	<u> </u>					
Stock code (if listed)	_					
Class of shares issuable ( <i>Note 1</i> ) Subscription price						
EGM approval date						
(if applicable) (dd/mm/yyyy))	( / / )					
2.						
	<u> </u>					
Stock code (if listed) Class of shares						
issuable (Note 1)						
Subscription price						
(if applicable) (dd/mm/yyyy)	( / / )					
3.						
	_					
Stock code (if listed) Class of shares						
issuable (Note 1)						
Subscription price EGM approval date						
(if applicable)	( / / )					
4.						
Stock code (if listed) Class of shares						
issuable (Note 1)						
Subscription price EGM approval date						
(if applicable) (dd/mm/yyyy)	( / / )					
(00,11111111111111111111111111111111111	( , , )		Total C. (Ordin	nary shares) 0		-
			(Prefere	once shares) Other class)		-

For Main Board and GEM listed issuers Any other Agreements or Arrangements to Issue Shares of the Issuer which are to be Listed, including Options (other than under Share Option Schemes)

man	under Share Option Schemes)		
	l particulars including EGM approval date (dd/mm/yyyy), pplicable, and class of shares issuable:	No. of new shares of issuer issued during the month pursuant thereto	No. of new shares of issuer which may be issued pursuant thereto as at close of the month
1.	Stock Plan for Non-Employee Directors		
•	Deferred Share Units settled in Common Shares	0	0
		_	-
	(04/05/2000)		
	shares ( <i>Note</i> 1)		
	Sildies (Ivole 1)		
2.	Executive Stock Option Plan Deferred Share Units settled in Common Shares	0	0
	(04/05/2000)		
	shares ( <i>Note 1</i> )		
	Shares (Note 1)		
3.	Dividend Reinvestment and Share Purchase Plan Dividends reinvested in Common Shares and Common Share purchases	0	0
•	(07/05/2009)		
•	shares (Note 1)		
	5114145 (11676-1)		-
	Total D. (Ordinary shares)	0	0
	(Preference shares)	0	O
	(Other class)		

	Type of Issue	•				No. of new shares of issuer issued during the month pursuant thereto	No. of new shares of issuer which may be issued pursuant thereto as at close of the month
			Class of shares issuable				
		Stata	(Note 1)	( / /	)		
1.	Rights issue	At price : State currency	Issue and allotment date : (dd/mm/yyyy)	( / /	,		
			EGM approval date: (dd/mm/yyyy)	( / /	)		
			Class of shares issuable (Note 1)				
2	0 "	At price . State	Issue and allotment	( / /	)		
2.	Open offer	At price : State currency	date : (dd/mm/yyyy)	( / /	)		
			EGM approval date: (dd/mm/yyyy)				
			Class of shares issuable (Note 1)				
3.	Placing	At price : State currency	Issue and allotment date : (dd/mm/yyyy)	( / /	)		
			EGM approval date: (dd/mm/yyyy)	( / /	)		
			Class of shares issuable (Note 1)				
4.	Bonus issue		Issue and allotment date : (dd/mm/yyyy)	( / /	)		
			EGM approval date: (dd/mm/yyyy)	( / /	)		

	Type of Issue			]	For	Ma	ain I	Boar	rd and GEM liste No. of new shares of issuer issued during the month pursuant thereto	d issuers  No. of new shares of issuer which may be issued pursuant thereto as at close of the month
				Class of shares issuable (Note 1)						
5.	Scrip dividend	At price	State currency —	Issue and allotment date : (dd/mm/yyyy)	(	/	/	)		
				EGM approval date: (dd/mm/yyyy)	(	/	/	)		
				Class of shares repurchased (Note 1)	_					
6.	Repurchase of shares			Cancellation date : (dd/mm/yyyy)	(	/	/	)		
				EGM approval date: (dd/mm/yyyy)	(	/	/	)		
				Class of shares redeemed (Note 1)	_					
7.	Redemption of shares			Redemption date : (dd/mm/yyyy)	(	/	/	)		
				EGM approval date: (dd/mm/yyyy)	(	/	/	)		
				Class of shares issuable (Note 1)	_					
8.	Consideration issue	At price :	State currency	Issue and allotment date : (dd/mm/yyyy)	(	/	/	)		
				EGM approval date: (dd/mm/yyyy)	(	/	/	)		

	Type of Issue			For Main	Boar	d and GEM liste No. of new shares of issuer issued during the month pursuant thereto	No. of new shares of
			Class of shares issuable	e			
			(Note 1)				
9.	Capital reorganisation		Issue and allotment date : (dd/mm/yyyy)	( / /	)		
			EGM approval date: (dd/mm/yyyy)	( / /	)		
		State	Class of shares issuable (Note 1)	e			
10.	Other	At price: State currency	Issue and allotment date : (dd/mm/yyyy)	( / /	)		
			EGM approval date: (dd/mm/yyyy)	( / /	)		
			<u> </u>				
				Ordinary solution (Ordinary solution) Preference solution (Other	share	s) <u> </u>	
							,
To	otal increase / (dec	rease) in ordinary shares durin	ng the month (i.e. Total of A to	E):	(	1)	435,657
					(	2)	0
Т	otal increase / (dec	rease) in preference shares du	ring the month (i.e. Total of A	to E):		-	
To	otal increase / (dec	rease) in other classes of share	es during the month (i.e. Total	of A to E):			

(These figures should be the same as the relevant figures under II above ("Movements in Issued Share Capital").)

Remarks (if any):	
Submitted by: Kay Song	
Title: Assistant Corporate Secretary (Director, Secretary or other duly authorised officer)	

## Notes:

- 1. State the class of shares (e.g. ordinary, preference or other).
- 2. If there is insufficient space, please append the prescribed continuation sheet.