# **HKEX** 香港交易所

### Monthly Return of Equity Issuer on Movements in Securities

# For the month ended (dd/mm/yyyy) :

30/09/2016

To : Hong Kong Exchanges and Clearing Limited

Name of Issuer	Manulife Financial Corporation
Date Submitted	04/10/2016

I. Movements in Authorised Share Capital

1. Ordinary Shares	;
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(1) Stock code : <u>00945</u> Descrip	otion : <u>Common</u>	Shares	
	No. of ordinary shares	Par value (State currency)	Authorised share capital <i>(State currency)</i>
Balance at close of preceding month	Unlimited	No par value	Unlimited
Increase/(decrease)			
Balance at close of the month			
(2) Stock code :Descrip	otion :		
(2) Stock code :Descrip	otion : No. of ordinary shares	Par value (State currency)	Authorised share capital (State currency)
(2) Stock code : Descrip Balance at close of preceding month	No. of ordinary shares	(State currency)	capital (State currency)
	No. of ordinary shares	(State currency)	capital (State currency)
Balance at close of preceding month	No. of ordinary shares	(State currency)	capital (State currency)

#### 2. Preference Shares

Stock code : <u>N/A</u> Descrip	tion : <u>Preferen</u>	nce Shares	
	No. of preference shares	Par value <i>(State</i> <i>currency)</i>	Authorised share capital (State currency)
Balance at close of preceding month Class 1	101,000,000	No par value	Unlimited
Class A	26,000,000	No par value	Unlimited
Class B	0	No par value	Unlimited
Increase/ (decrease)	0	No par value	Unlimited
		Nopor	
Balance at close of the month	127,000,000	No par value	Unlimited

## 3. Other Classes of Shares

Stock code :Des	scription :		
	No. of other classes of shares	Par value (State currency)	Authorised share capital <i>(State currency)</i>
Balance at close of preceding mo	onth		
Increase/(decrease)		-	
Balance at close of the month			

Total authorised share capital at the end of the month (State currency): N/A

### II. Movements in Issued Share Capital

	No. of ordin	ary shares (2)	No of preference shares	No. of other classes of shares
	(1)	(2)	5110165	Classes 01 Shares
Balance at close of preceding month	1,972,976,170		127,000,000	
Increase/ (decrease) during the month	102,397		0	
Balance at close of the month	1,973,078,567		127,000,000	

Particulars of share option scheme including EGM approval date		Movement duri				No. of new shares of issuer which may be issued pursuant thereto as at close of the month
(dd/mm/yyyy) and class of shares issuable	Granted	Exercised	Cancelled	Lapsed		
1. Executive Stock Option Plan						
(04/05/2000) Common Shares (Note 1)	0	102,397	0	50,769	102,397	32,483,028
2. 1999 Long Term Stock Incentive Plan						
(14/05/2001) Common Shares (Note 1)						0
<u>3.</u>						
( / / ) shares (Note 1)						
		Tota	(Preferend	ry shares) ce shares) ther class)	102,397	
Total funds raised d of options (State cu		nth from exerc	ise <u>Cdn. \$1,</u> {	542,965		

III. Details of Movements in Issued Share Capital

Share Options (under Share Option Schemes of the Issuer)

Warrants to Issue Shares of the	Issuer which	are to be Listed				
Description of warrants (Date of expiry - dd/mm/yyyy)	Currency of nominal value	Nominal value at close of preceding month	Exercised during the month	Nominal value at close of the month	No. of new shares of issuer issued during the month pursuant thereto	No. of new shares of issuer which may be issued pursuant thereto as at close of the month
<u>1.</u>						
Subcorintian price		)				
Subscription price EGM approval date (if applicable)		)				
(       /       )         Stock code (if listed)	( / /					
( / / )						
Subscription price EGM approval date (if applicable)						
		Total E	(Preferen	ary shares) ce shares) ther class)		

Convertibles (i.e. Convertible	into Shares of th	e Issuer which	ch are to be Liste	ed)		
Class and description	Currency of amount outstanding	Amount at close of preceding month	Converted during the month	Amount at close of the month	No. of new shares of issuer during the month pursuant thereto	No. of new shares of issuer which may be issued pursuant thereto as at close of the month
1.						
Subscription price EGM approval date (if applicable)	( / / )					
Stock code (if listed) Class of shares issuable (Note 1) Subscription price EGM approval date (if applicable) (dd/mm/yyyy) 3.						
Stock code (if listed) Class of shares issuable (Note 1) Subscription price EGM approval date (if applicable) (dd/mm/yyyy) 4.						
	( / / )		Total C. (Ordina	ary shares)	0	
			(Preferen	ce shares)	~	-
			, (О	ther class)		_

Options (other than under Share Option Schemes) No. of new shares No. of new shares of of issuer issued issuer which may be during the month issued pursuant pursuant thereto thereto as at close of Full particulars including EGM approval date (dd/mm/yyyy), the month if applicable, and class of shares issuable: 1. Stock Plan for Non-Employee Directors Deferred Share Units settled in Common Shares (04/05/2000) shares (Note 1) 0 0 2. Executive Stock Option Plan Deferred Share Units settled in Common Shares (04/05/2000) shares (Note 1) 0 0 3. Dividend Reinvestment and Share Purchase Plan Dividends reinvested in Common Shares and Common Share purchases (07/05/2009) shares (Note 1) 0 0 Total D. (Ordinary shares) 0 0 (Preference shares) (Other class)

Any other Agreements or Arrangements to Issue Shares of the Issuer which are to be Listed, including

Ot	her Movements	in Issued Sha	are Capital						
	Type of Issue							No. of new shares of issuer issued during the month pursuant thereto	No. of new shares of issuer which may be issued pursuant thereto as at close of the month
				Class of shares issuable (Note 1)					
1.	Rights issue	At price :	State currency	Issue and allotment date : (dd/mm/yyyy)	(	/ /	)		
				EGM approval date: (dd/mm/yyyy)	(		)		
				Class of shares					
2.	Open offer	At price :	State	issuable <i>(Note 1)</i> Issue and allotment date : (dd/mm/yyyy)	(	/ /	)		
				EGM approval date: (dd/mm/yyyy)	(	/ /	)		
				Class of shares issuable (Note 1)					
3.	Placing	At price :	State	Issue and allotment date : (dd/mm/yyyy)	(		)		
				EGM approval date: (dd/mm/yyyy)	(		)		
				Class of shares issuable (Note 1)					
4.	Bonus issue			lssue and allotment date : (dd/mm/yyyy)	(	/ /	)		
				EGM approval date: (dd/mm/yyyy)	(		)		

Type of issue       Indian         5. Scrip dividend       At price :       State currency								No. of new shares of issuer issued during the month pursuant thereto	No. of new shares of issuer which may be issued pursuant thereto as at close of the month
5. Scrip dividend At price : State currency       Issue and allotment date : (dd/mm/yyyy) ( / / ) )         EGM approval date: (dd/mm/yyyy)       ( / / ) )         6. Repurchase of shares       Class of shares repurchased (Note 1)         6. Repurchase of shares       Cancellation date : ( / / ) (dd/mm/yyyy)         EGM approval date: ( / / ) (dd/mm/yyyy)       EGM approval date: ( / / ) (dd/mm/yyyy)         7. Redemption of shares       Class of shares redeemed (Note 1)         7. Redemption of shares       Redemption date : ( / / ) (dd/mm/yyyy)         EGM approval date: ( / / ) (dd/mm/yyyy)       EGM approval date: ( / / ) (dd/mm/yyyy)         8. Consideration issue       At price : State currency       Issue and allotment ( / / ) ( ate : (dd/mm/yyyy)         8. Consideration issue       At price : State currency       Issue and allotment ( / / ) ( ate : (dd/mm/yyy)		Type of Issue							monun
EGM approval date: (d/mm/yyyy) ( / / ) ( / / ) (d/mm/yyyy) EGM approval date: ( / / )	5	Scrip dividend	At price :		Issue and allotment	( / /	)		
6. Repurchase of shares       Cancellation date : ( / / ) (dd/mm/yyyy)         6. Repurchase of shares       Cancellation date : ( / / ) (dd/mm/yyyy)         EGM approval date: ( / / ) (dd/mm/yyyy)       EGM approval date: ( / / ) (dd/mm/yyyy)         7. Redemption of shares       Class of shares redeemed (Note 1)         7. Redemption of shares       Class of shares ( / / ) (dd/mm/yyyy)         EGM approval date: ( / / ) (dd/mm/yyyy)       EGM approval date: ( / / ) (dd/mm/yyyy)         8. Consideration issue       Class of shares issuable (Note 1)         8. Consideration issue       At price : State currency — Issue and allotment ( / / ) (date : (dd/mm/yyy))         EGM approval date: ( / / )       EGM approval date: ( / / )	0.		ni price .	currency ———	EGM approval date: (dd/mm/yyyy)	( / /	)		
6. Repurchase of shares       Cancellation date : ( / / ) (dd/mm/yyyy)         6. Repurchase of shares       Cancellation date : ( / / ) (dd/mm/yyyy)         EGM approval date: ( / / ) (dd/mm/yyyy)       EGM approval date: ( / / ) (dd/mm/yyyy)         7. Redemption of shares       Class of shares redeemed (Note 1)         7. Redemption of shares       Class of shares ( / / ) (dd/mm/yyyy)         EGM approval date: ( / / ) (dd/mm/yyyy)       EGM approval date: ( / / ) (dd/mm/yyyy)         8. Consideration issue       Class of shares issuable (Note 1)         8. Consideration issue       At price : State currency — Issue and allotment ( / / ) (date : (dd/mm/yyy))         EGM approval date: ( / / )       EGM approval date: ( / / )									
shares       (dd/mm/yyyy)         EGM approval date:       ( / / )         (dd/mm/yyyy)									
(dd/mm/yyyy)	6.					( / /	)		
7. Redemption of shares       redeemed (Note 1)         7. Redemption date:       ( / / )         Redemption date:       ( / / )         (dd/mm/yyyy)       EGM approval date:         EGM approval date:       ( / / )         (dd/mm/yyyy)       EGM approval date:         Class of shares					EGM approval date: (dd/mm/yyyy)	( / /	)		
7. Redemption of shares       redeemed (Note 1)         7. Redemption date:       ( / / )         Redemption date:       ( / / )         (dd/mm/yyyy)       EGM approval date:         EGM approval date:       ( / / )         (dd/mm/yyyy)       EGM approval date:         Class of shares									
shares       (dd/mm/yyyy)       (dd/mm/yyyy)         EGM approval date:       ( / / )         (dd/mm/yyyy)       ( / / )         EGM approval date:       ( / / )         (dd/mm/yyyy)       ( / / )         8. Consideration issue       State currency —         Issue and allotment ( / / )       Issue and allotment ( / / )         EGM approval date:       ( / / )									
(dd/mm/yyyy) ( / / / ) (dd/mm/yyyy) Class of shares issuable (Note 1) 8. Consideration At price : State Issue and allotment ( / / ) date : (dd/mm/yyyy) EGM approval date: ( / / )	7.				Redemption date : (dd/mm/yyyy)	( / /	)		
8. Consideration At price : State Currency Issue and allotment ( / / ) Build ate : (dd/mm/yyyy) EGM approval date: ( / / )					EGM approval date: (dd/mm/yyyy)	( / /	)		
8. Consideration At price : State currency Issue and allotment ( / / ) Build ate : (dd/mm/yyyy) EGM approval date: ( / / )									
issue At price : currency — date : (dd/mm/yyyy) EGM approval date: ( / / )									
EGM approval date: ( / / ) (dd/mm/yyyy)	8.		At price :			( / /	)		
					EGM approval date: (dd/mm/yyyy)	( / /	)		

	Type of Issue			No. of new shares of issuer issued during the month pursuant thereto	No. of new shares of issuer which may be issued pursuant thereto as at close of the month
			Class of shares issuable (Note 1)		
9.	Capital reorganisation		Issue and allotment ( / / ) date : (dd/mm/yyyy)		
			EGM approval date: ( / /  ) (dd/mm/yyyy)		
			Class of shares issuable (Note 1)		
10.	Other (Please specify) At price :	State	Issue and allotment ( / / ) date : (dd/mm/yyyy)		
			EGM approval date: ( / / ) (dd/mm/yyyy)		
			Total E. (Ordina share (Preference share (Other clas	es) <u>0</u> es)	-

Total increase / (decrease) in ordinary shares during the month (i.e. Total of A to E): (1) (2)	102,397
Total increase / (decrease) in preference shares during the month (i.e. Total of A to E): Total increase / (decrease) in other classes of shares during the month (i.e. Total of A to	0
E): (These figures should be the same as the relevant figures under II above ("Movements in Capital").)	Issued Share

Remarks (if any):

Submitted by: Kay Song

 Assistant Corporate Secretary

 (Director, Secretary or other duly authorised officer)

Notes :

- 1. State the class of shares (e.g. ordinary, preference or other).
- 2. If there is insufficient space, please append the prescribed continuation sheet.